Company Name Caritas Life
Financial year end 2016
Sector Insurance
Company Structure Class 1

		Yes / No	Reference / Source Documents
A	Rights of Shareholders		
A.1	Basic Shareholder Rights		
A.1.1	Does the company pay (interim and final/annual) dividends in an equitable and timely manner; that is, <u>all shareholders are treated equally and paid within 30 days after being (i) declared for interim dividends and (ii) approved by annual general meeting (AGM) for final dividends?</u>	N/A	
A.2	Right to participate in decisions concerning fundamental corporate changes.		
	Do shareholders have the right to participate in:		
A.2.1 A.2.2	Amendments to the company's constitution? The authorisation of additional shares?	<u> </u>	<u>default</u> default
	The transfer of all or substantially all assets, which in effect results in the		
A.2.3	sale of the company?	Υ	<u>default</u>
A.3	Right to participate effectively in and vote in general shareholder meetings and should be informed of the rules, including voting procedures, that govern general shareholder meetings.		
A.3.1	Do shareholders have the opportunity, evidenced by an agenda item, to approve remuneration (fees, allowances, benefit-in-kind and other emoluments) or any increases in remuneration for the non-executive directors/commissioners?	Υ	default
A.3.2	Does the company provide non-controlling shareholders a right to nominate candidates for board of directors/commissioners?	N/A	
A.3.3	Does the company allow shareholders to elect directors/commissioners	Y	By-laws (Article II)
A.3.4	individually? Does the company disclose the voting and vote tabulation procedures used, declaring both before the meeting proceeds?	N/A	
A.3.5	Do the minutes of the most recent AGM record that there was an opportunity allowing for shareholders to ask questions or raise issues?	N/A	
A.3.6	Do the minutes of the most recent AGM record questions and answers?	N/A	
A.3.7	Does the disclosure of the outcome of the most recent AGM include resolution(s)?	Υ	AGM Minutes
A.3.8	Does the company disclose the voting results including approving, dissenting, and abstaining votes for each agenda item for the most recent AGM?	Υ	AGM Minutes
A.3.9	Does the company disclose the list of board members who attended the most recent AGM?	Υ	AGM Minutes
A.3.10	Did the chairman of the board of directors/commissioners attend the most recent AGM?	Υ	AGM Minutes
A.3.11	Did the CEO/Managing Director/President attend the most recent AGM?	Υ	AGM Minutes
A.3.12	Did the chairman of the Audit Committee attend the most recent AGM?	Υ	AGM Minutes
A.3.13 A.3.14	Did the company organise their most recent AGM in an easy to reach location?	Y Y	AGM Minutes
A.3.15	Does the company allow for voting in absentia? Did the company vote by poll (as opposed to by show of hands) for all resolutions at the most recent AGM?	N/A	arant.
A.3.16	Does the company disclose that it has appointed an independent party (scrutineers/inspectors) to count and/or validate the votes at the AGM?	N/A	
A.3.17	Does the company make publicly available by the next working day the result of the votes taken during the most recent AGM for all resolutions?	N/A	
A.3.18	Do companies provide at least 21 days notice for all resolutions?	N	
A.3.19	Does the company provide the rationale and explanation for each agenda item which require shareholders' approval in the notice of AGM/circulars and/or the accompanying statement?	Υ	
A.4	Markets for corporate control should be allowed to function in an efficient and transparent manner. In cases of mergers, acquisitions and/or takeovers, does the board of		
A.4.1	In cases of mergers, acquisitions and/or takeovers, does the board of	N/A	
A.5	The exercise of ownership rights by all shareholders, including institutional investors, should be facilitated.		
A.5.1	Does the Company publicly disclose policy/practice to encourage shareholders including institutional shareholders to attend the general meetings or engagement with the Company?	N/A	

Company Name Caritas Life
Sector Insurance
Company Structure Class 1

ſ		Yes / No	Reference / Source Documents
R	Equitable Treatment of Shareholders	1657 116	nererence y bource bocuments
B.1	Shares and voting rights		
	Do the company's ordinary or common shares have one vote		
B.1.1	for one share?	Υ	<u>default</u>
	Where the company has more than one class of shares, does the company		
	publicise the voting rights attached to each class of shares (e.g. through		
B.1.2	the company website / reports/the stock exchange/ the regulator's	Υ	<u>default</u>
	website)?		
B.2	Notice of AGM		
	Does each resolution in the most recent AGM deal with only one item, i.e.,		
B.2.1	there is no bundling of several items into the	Υ	AGM Minutes
	same resolution?		
	Are the company's notice of the most recent AGM/circulars fully		
B.2.2	translated into English and published on the same date	Υ	Notice of the AGM Meeting
	as the local-language version?		
	Does the notice of AGM/circulars have the following details:		
	Are the profiles of directors/commissioners (at least age,		
	qualification, date of first appointment, experience, and		
B.2.3	directorships in other listed companies) in seeking	N	
	election/re-election included?		
B.2.4	Are the auditors seeking appointment/re-appointment clearly identified?	Υ	AGM Minutes
B.2.5	Has an explanation of the dividend policy been provided?	N/A	
B.2.6	Is the amount payable for final dividends disclosed?	N/A	
	Documents required to be proxy/ Were the proxy documents		
B.2.7	made easily available?	N/A	
B.3	Insider trading and abusive self-dealing should be prohibited.		
	Does the company have policies and/or rules prohibiting		
B.3.1	directors/commissioners and employees to benefit from	Υ	<u>default</u>
	knowledge which is not generally available to the market?		
D 2 2	Are the directors and commissioners required to report their	N/A	
B.3.2	dealings in company shares within 3 business days?	N/A	
B.4	Related party transactions by directors and key executives.		
B.4.1	Are directors and commissioners required to disclose their	Υ	d-fda
b.4.1	interest in transactions and any other conflicts of interest?	1	<u>default</u>
	Does the company have a policy requiring a committee of		
B.4.2	independent directors/commissioners to review	Υ	RPT Policy
D.4.Z	material/significant RPTs to determine whether they are in	'	<u>KFT FOILCY</u>
	the best interests of the company?		
	Does the company have a policy requiring board members		
B.4.3	(directors/commissioners) to abstain from participating in the board	Υ	<u>default</u>
	discussion on a particular agenda when they are conflicted?		
	Does the company have policies on loans to directors and		
B.4.4	commissioners either forbidding this practice or ensuring that they are	Υ	<u>default</u>
	being conducted at arm's length basis and at market rates.		
B.5	Protecting minority shareholders from abusive actions		
	Were there any RPTs that can be classified as financial		
B.5.1	assistance to entities other than wholly-owned subsidiary	Υ	RPT Policy
	companies?		
B.5.2	Does the company disclose that RPTs are conducted in such a way to	Υ	RPT Policy
0.3.2	ensure that they are fair and at arms' length?	'	IXI I I Olicy

Philippines

Company Name Sector Company Structure Insurance Class 1

		Yes / No	Reference / Source Document
С	Role of Stakeholders		
C.1	The rights of stakeholders that are established by law or		
C.1	through mutual agreements are to be respected.		
	Does the company disclose a policy that :		
C.1.1	Stipulates the existence and scope of the company's efforts to	N	
C.1.1	address customers' health and safety?	IN .	
C.1.2	Explains supplier/contractor selection practice?	N	
	Describes the company's efforts to ensure that its value chain is		
C.1.3	environmentally friendly or is consistent with promoting	N	
	sustainable development?		
	Elaborates the company's efforts to interact with the communities		
C.1.4	in which they operate?	N	
645	Directs the company's anti-corruption programmes and	N	
C.1.5	procedures?	N	
C.1.6	Describes how creditors' rights are safeguarded?	Υ	AFS
	Does the company disclose the activities that it has undertaken to		
	implement the above mentioned policies?		
C.1.7	Customer health and safety	N	
C.1.8	Supplier/Contractor selection and criteria	N	
C.1.9	Environmentally-friendly value chain	N	
C.1.10	Interaction with the communities	N	
C.1.11	Anti-corruption programmes and procedures	N	
C.1.12	Creditors' rights	Υ	AFS
0.1.10	Does the company have a separate corporate responsibility (CR)		
C.1.13	report/section or sustainability report/section?	N	
	Where stakeholder interests are protected by law, stakeholders		
C.2	should have the opportunity to obtain effective redress for		
	violation of their rights.		
	Does the company provide contact details via the company's		
	website or Annual Report which stakeholders (e.g. customers,		Please see Contact details via
C.2.1	suppliers, general public etc.) can use to voice their concerns	Υ	Website
	and/or complaints for possible violation of their rights?		
	Performance-enhancing mechanisms for employee participation		
C.3	should be permitted to develop.		
	Does the company explicitly disclose the health, safety, and welfare policy		
C.3.1	for its employees?	N	
	Does the company publish data relating to health, safety and welfare of its		
C.3.2	employees?	N	
	Does the company have training and development programmes for its		
C.3.3	employees?	Υ	Certificates of Attendance
	Does the company publish data on training and development		
C.3.4	programmes for its employees?	N	
	Does the company have a reward/compensation policy that		
C.3.5	accounts for the performance of the company beyond short-term	N	
	financial measures?		
	Stakeholders including individual employee and their		
	representative bodies, should be able to freely communicate their		
C.4	concerns about illegal or unethical practices to the board and their rights		
	should not be compromised for doing this.		
	Does the company have procedures for complaints by employees		
C.4.1	concerning illegal (including corruption) and unethical behaviour?	Υ	Whistleblower Policy
	Does the company have a policy or procedures to protect an		
C.4.2	employee/person who reveals illegal/unethical behavior from	Υ	Whistleblower Policy
U.4.4	employee/person who reveals illegal/uneutical behavior from	I	vvilistiebiowei Folicy

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D.8.6 Downloadable annual report N D.8.7 Notice of AGM and/or EGM N/A	D.8.4	Shareholding structure	N	
D.8.7 Notice of AGM and/or EGM N/A	D.8.5			
	D.8.6			
D.8.8 Minutes of AGM and/or EGM N/A	D.8.7			
	D.8.8	Minutes of AGM and/or EGM	N/A	

		Yes / No	Reference / Source Document
D	Disclosure and Transparency		
D.8.9	Company's constitution (company's by-laws, memorandum and articles of association)	Υ	
D.9	Investor relations		
D.9.1	Does the company disclose the contact details (e.g. telephone, fax, and email) of the officer responsible for investor relations?	N/A	

Company Name Ownership Structure Company Structure

Caritas Life Wholly-Owned Company Class 1

		Yes / No	Reference / Source Documents
E	Responsibilities of the Board	·	·
E.1	Clearly defined board responsibilities and corporate governance policy		
E.1.1	Does the company disclose its corporate governance policy / board charter?	Υ	Corporate Governance Manual
E.1.2	Are the types of decisions requiring board of directors/commissioners'	Υ	Corporate Governance Manual
	approval disclosed ? Are the roles and responsibilities of the board of		
E.1.3	directors/commissioners clearly stated ?	Y	Corporate Governance Manual
E.1.4	Does the company have a vision and mission statement? Has the board review the vision and mission/strategy in the last financial	Υ	<u>Website</u>
E.1.5	year?	Υ	Corporate Governance Manual
E.1.6	Does the board of directors monitor/oversee the implementation of the corporate strategy?	Υ	Corporate Governance Manual
E.2	Code of ethics or conduct		
E.2.1	Are the details of the code of ethics or conduct disclosed? Does the company disclose that all directors/commissioners,	Υ	Corporate Governance Manual
E.2.2	senior management and employees are required to comply with the code?	Υ	Corporate Governance Manual
E.2.3	Does the company disclose how it implements and monitors	Υ	Corporate Governance Manual
	compliance with the code of ethics or conduct? Board Structure & Composition	·	gerperate gevernance manage
E.2.1	Does the company have a code of ethics or conduct?	N	
E.2.2	Are the details of the code of ethics or conduct disclosed?	N	
E.2.3	Does the company disclose that all directors/commissioners, senior management and employees are required to comply with the code?	N	http://caritaslife.com.ph/corporate/ /getFile/GIS 2017.pdf
E.2.4	Do independent directors/commissioners make up at least 50% of the board of directors/commissioners?	N	
E.2.5	Are the independent directors/commissioners independent of	Υ	GIS
F 2.6	management and major/ substantial shareholders? Does the company have a term limit of nine years or less for its	Y	
E.2.6	independent directors/commissioners? Has the company set a limit of five board seats that an individual	Ť	Corporate Governance Manual
E.2.7	independent/non-executive director/commissioner may hold simultaneously?	Υ	Corporate Governance Manual
E.2.8	Does the company have any independent directors/commissioners who serve on a total of more than five boards of publicly-listed companies?	N	
E.2.9	Does the company have any executive directors who serve on more than two boards of listed companies outside of the group?	N	
	Nominating Committee		
E.2.10	Does the company have a Nominating Committee (NC)? Does the Nominating Committee comprise of a majority of independent	Υ	GIS
E.2.11	directors/commissioners? Is the chairman of the Nominating Committee an	N	
E.2.12	independent director/commissioner? Does the company disclose the terms of reference/	Υ	GIS
E.2.13	governance structure/charter of the Nominating Committee?	Υ	
E.2.14	Did the Nominating Committee meet at least twice during the year?	Υ	
E.2.15	Is the attendance of members at Nominating Committee meetings disclosed?	Υ	
	Remuneration Committee / Compensation Committee		
E.2.16	Does the company have a Remuneration Committee? Does the Remuneration Committee comprise of a majority of	Y	GIS
E.2.17	independent directors/commissioners?	Υ	GIS
E.2.18	Is the chairman of the Remuneration Committee an independent director/commissioner?	Υ	GIS
E.2.19	Does the company disclose the terms of reference/ governance structure/ charter of the Remuneration Committee?	Υ	
E.2.20	Did the Remuneration Committee meet at least twice during the year?	Υ	
E.2.21	Is the attendance of members at Remuneration Committee	Υ	
	meetings disclosed? Audit Committee		
E.2.22	Does the company have an Audit Committee?	Υ	default
E.2.23	Does the Audit Committee comprise entirely of non-executive directors/commissioners with a majority of independent	Υ	GIS
E.2.24	directors/commissioners? Is the chairman of the Audit Committee an independent director/commissioner?	Υ	default
E.2.25	Does the company disclose the terms of reference/governance	Y	
	structure/charter of the Audit Committee? Does the Annual Report disclose the profile or qualifications		
E.2.26	of the Audit Committee members?	N/A	
E.2.27	Does at least one of the independent directors/commissioners of the committee have accounting expertise (accounting qualification or experience)?	Υ	
E.2.28	Did the Audit Committee meet at least four times during the	N	
L	year? Is the attendance of members at Audit Committee meetings		
E.2.29	disclosed?	Υ	

		Yes / No	Reference / Source Documents
E	Responsibilities of the Board		
E.3	Board Processes Are the board of directors meeting scheduled before the start		
E.3.1	of financial year?	N	
E.3.2	Does the board of directors/commissioners meet at least six times during the year?	Υ	Attendance Report
E.3.3	Has each of the directors/commissioners attended at least 75% of all the	Υ	Attendance Report
L.3.3	board meetings held during the year? Does the company require a minimum quorum of at least 2/3	'	Attendance Report
E.3.4	for board decisions?	N	
	Did the non-executive directors/commissioners of the company meet		
E.3.5	separately at least once during the year without any executives present?	N	
	Access to information		
E.3.6	Are board papers for board of directors/commissioners meetings provided to the board at least five business days in	N	
2.5.0	advance of the board meeting?		
E.3.7	Does the company secretary play a significant role in supporting the board in discharging its responsibilities?	Υ	Corporate Governance Manual
5.2.0	Is the company secretary trained in legal, accountancy or company	V	O
E.3.8	secretarial practices?	Y	Corporate Governance Manual
	Board Appointments and Re-Election Does the company disclose the criteria used in selecting new	<u> </u>	
E.3.9	directors/commissioners?	N	
E.3.10	Does the company disclose the process followed in appointing new directors/commissioners?	Υ	
F 2 44	Are all the directors/commissioners subject to re-election at least once	Υ	et et en la
E.3.11	every three years?	Ť	<u>default</u>
	Does the company disclose its remuneration (fees, allowances, benefit-in- kind and other emoluments) policy/practices (i.e. the use of short term		
E.3.12	and long term incentives and performance measures) for its executive	N	
	directors and CEO? Is there disclosure of the fee structure for non-executive		
E.3.13	directors/commissioners?	N	
	Do the shareholders or the Board of Directors approve the		1.7.1
E.3.14	remuneration of the executive directors and/or the senior executives?	Y	<u>default</u>
E.3.15	Do independent non-executive directors/commissioners	N	
	receive options, performance shares or bonuses? Internal Audit		
E.3.16	Does the company have a separate internal audit function?	Υ	<u>default</u>
E.3.17	Is the head of internal audit identified or, if outsourced, is the	Υ	AGM Minutes
5 3 4 0	name of the external firm disclosed? Does the appointment and removal of the internal auditor		1.7.10
E.3.18	require the approval of the Audit Committee?	Υ	<u>default</u>
	Risk Oversight Does the company disclose the internal control		
E.3.19	procedures/risk management systems it has in place?	N	
	Does the Annual Report disclose that the board of directors/commissioners has conducted a review of the		
E.3.20	company's material controls (including operational, financial	N/A	
F 2 24	and compliance controls) and risk management systems?	N	
E.3.21	Does the company disclose how key risks are managed? Does the Annual Report contain a statement from the board	N	
E.3.22	of directors/commissioners or Audit Committee commenting	N/A	
	on the adequacy of the company's internal controls/risk management systems?	.4/.	
E.4	People on the Board		
E.4.1	Board Chairman	V	GIS
E.4.1 E.4.2	Do different persons assume the roles of chairman and CEO? Is the chairman an independent director/commissioner?	Y N	<u>GIS</u>
E.4.3	Has the chairman been the company CEO in the last three years?	N	
E.4.4	Are the role and responsibilities of the chairman disclosed? Skills and Competencies	Y	Corporate Governance Manual
	Does at least one non-executive director/commissioner have		
E.4.5	prior working experience in the major sector that the	Υ	
	company is operating in? Does the company disclose a board of directors/commissioners diversity		
E.4.6	policy?	N	
E.5	Board Performance Directors Development		
E.5.1	Does the company have orientation programmes for new	Υ	Certificates of Attendance
E.J.1	directors/commissioners? Does the company have a policy that encourages	1	Certificates of Attendance
E.5.2	directors/commissioners to attend on-going or continuous	Υ	Certificates of Attendance
	professional education programmes?		
	CEO/Executive Management Appointments and Performance Does the company disclose how the board of		
E.5.3	directors/commissioners plans for the succession of the	N	
	CEO/Managing Director/President and key management?		
E.5.4	Does the board of directors/commissioners conduct an annual performance assessment of the CEO/Managing Director/President?	N	
	Board Appraisal		
E.5.5	Is an annual performance assessment conducted of the board	N	
E.5.5 E.5.6		N N	

		Yes / No	Reference / Source Documents
E	Responsibilities of the Board		
	Does the company disclose the criteria used in the board		
E.5.7	assessment?	N	
	Director Appraisal		
E.5.8	Is an annual performance assessment conducted of individual	N	
E.3.6	director/commissioner?	N	
	Does the company disclose the process followed in conducting the	N	
E.5.9	director/commissioner assessment?	N	
F F 40	Does the company disclose the criteria used in the director/commissioner		
E.5.10	assessment?	N	
	Committee Appraisal		
F F 11	Is an annual performance assessment conducted of the board	N	
E.5.11	of directors/commissioners committees?	N	

Company Name Caritas Life
Sector Insurance
Company Structure Class 1

		Yes / No	Reference / Source Document
Level 2	Bonus Items		
A	Rights of shareholders		
A.1	Right to participate effectively in and vote in general shareholders meeting and should be informed of the rules, including voting procedures, that govern general shareholders meeting.		
A.1.1(B)	Does the company allow the use of secure electronic voting in absentia at the general meetings of shareholders?	N/A	
В	Equitable treatment of shareholders		
B.1	Notice of AGM		
B.1.1(B)	Does the company release its notice of AGM (with detailed agendas and explanatory circulars), as announced to the Exchange, at least 28 days before the date of the meeting?	N/A	
D	Disclosure and transparency		
D.1	Quality of Annual Report		
D.1.1(B)	Does the company disclose details of remuneration of the CEO?	N	
E	Responsibilities of the Board		
E.1	Board Competencies and Diversity		
E.1.1(B)	Does the company have at least one female independent director/commissioner?	N	
E.2	Nominating Committee		
E.2.1(B)	Does the Nominating Committee comprise entirely of independent directors/commissioners?	N	
E.2.2(B)	Does the Nominating Committee undertake the process of identifying the quality of directors aligned with the company's strategic directions?	N	
E.3	Board Appointments and Re-Election		
E.3.2(B)	Does the company use professional search firms or other external sources of candidates (such as director databases set up by director or shareholder bodies) when searching for candidates to the board of directors/commissioners?	N	
E.4	Board Structure & Composition		
E.4.1(B)	Do independent non-executive directors/commissioners make up more than 50% of the board of directors/commissioners?	N	
E.5	Board Performance		
E.5.1(B)	Does the company have a separate level Risk Committee?	N	

Company Name Caritas Life
Sector Insurance
Company Structure Class 1

		Source Document/ Location of Information	Yes / No	Reference / Source
		Source Bocameny Education of Information	1637 140	Documernts
evel 2	Penalty			
١	Rights of shareholders			
\.1	Basic shareholder rights Did the company fail or neglect to offer equal treatment for	Repurchase Notice/announcement, Annual		
A.1.1(P)	share repurchases to all shareholders?	Report Report	N/A	
	Shareholders, including institutional shareholders, should be	Neport		
A.2	allowed to consult with each other on issues concerning their			
	basic shareholder rights as defined in the Principles, subject			
	to exceptions to prevent abuse. Is there evidence of barriers that prevent shareholders from			
A.2.1(P)	communicating or consulting with other shareholders?	Annual Report/Company website.	N	
	Right to participate effectively in and vote in general shareholders			
A.3	meeting and should be informed of the rules, including voting			
	procedures, that govern general shareholders meeting.			
A.3.1(P)	Did the company include any additional and unannounced	Minutes of Meeting, Meeting results notice	N	
	agenda item into the notice of AGM/EGM? Capital structures and arrangements that enable certain shareholders to			
	obtain a degree of control disproportionate to their equity ownership			
A.4	should be			
	disclosed.			
) 4.4(D)	Did the company fail to disclose the existence of:		N.	
A.4.1(P) A.4.2(P)	Shareholders agreement? Voting cap?	Annual Report/Company website/articles of	N N	default
4.3(P)	Multiple voting rights?	association/Company announcement/Media	N N	default
	Capital structures and arrangements that enable certain shareholders to			
A. 5	obtain a degree of control disproportionate to their equity ownership			
	should be disclosed.	To check for the existence of auramid 9		
		To check for the existence of pyramid & cross holding structure(s): Disclosure in Annual		
		Report/website of the company. It may be		
		directly reported by the company or it may be		
A.5.1(P)	Is a pyramid ownership structure and/ or cross holding	disclosed in the form of Group Structure that	N/A	
4.5.1(F)	structure apparent?	reveals the ownership of the controlling	N/A	
		shareholder(s) in companies belonging to the		
		group. Other sources: Check on ownership		
		structures of chains of entities that		
В	Equitable treatment of shareholders	directly/indirectly owns the listed company.		
	Equitable treatment of shareholders			
B.1	Insider trading and abusive self-dealing should be prohibited.			
	Has there been any conviction of insider trading involving			
B.1.1(P)	directors/commissioners, management and employees in the	Annual Report / Company website /	N	
	past three years?	announcement / Media		
3.2	Protecting minority shareholders from abusive action			
	Has there been any cases of non compliance with the laws,	Annual Report / Company website /		
3.2.1(P)	rules and regulations pertaining to significant or material	announcement / Media	N	
•	related party transactions in the past three years? Role of stakeholders	,		
<u> </u>	The rights of stakeholders that are established by law or through			
C.1	mutual agreements are to be respected.			
	Has there been any violations of any laws pertaining to	Sanction(s) from Regulator(s)/Media		
C.1.1(P)	labour/employment/ consumer/insolvency/	coverage/Company announcement/Annual	N	
	commercial/competition or environmental issues?	Report/Company website		
C.2	Where stakeholders participate in the corporate governance process, they should have access to relevant, sufficient and reliable information			
	on a timely and regular basis.			
	Has the company faced any sanctions by regulators for failure to			
C.2.1(P)	make announcements within the requisite time period for	Sanction(s) from Regulator(s)/Media/Company	N	
	material events?	announcement/Annual Report/Company website	••	
D	Disclosure and transparency			
D.1	Sanctions from regulator on financial reports			
D 1 1/D)	Did the company receive a "qualified opinion" in its external	Annual Report - see Independent Auditor's	NI .	
D.1.1(P)	audit report?	Report accompanying the company's financial statements.	N	
	Did the company receive a "adverse opinion" in its external	Annual Report - see Independent Auditor's		
D.1.2(P)	audit report?	Report accompanying the company's financial	N	
	· ·	statements. Annual Report - see Independent Auditor's		+
D.1.3(P)	Did the company receive a "disclaimer opinion" in its	Report accompanying the company's financial	N	
- 1 /	external audit report?	statements.	••	
D.1.4(P)	Has the company in the past year revised its financial statements	Media / announcement	N	
D. 1.4(F)	for reasons other than changes in accounting policies?	ivieuia / amitualitement	IN	
	Responsibilities of the Board			
.1	Compliance with listing rules, regulations and applicable laws			
	Is there any evidence that the company has not complied with any			1
E.1.1(P)	listing rules and regulations over the past year apart from	Company announcements to the exchange/Media	N/A	

		Source Document/ Location of Information	Yes / No	Reference / Source Documernts
Level 2	Penalty			
E.1.2(P)	Have there been any instances where non-executive directors/commissioner have resigned and raised any issues of governance-related concerns?	Company announcements to the exchange/Media	N	
E.2	Board A			
E2.1(P)	Does the Company have any independent directors/commissioners who have served for more than nine years?	Annual report/Company website	N	<u>GIS</u>
E2.4(P)	Did the company fail to disclose the identity of the independent director(s)/commissioner(s)?	Annual Report	N	GIS
E.3	External Audit			
E.3.1(P)	Is any of the directors or senior management a former employee or partner of the current external auditor (in the past 2 years)?	Annual Report	N	
E.4.1 (P)	is any of the directors a former CEO of the company in the past 2 years?			